PTO-1390 (Rev. 12-2004) Approved for use through 3/31/2007, OMB 0651-0021

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## TTTORNEY'S DOCKET NUMBER 1865-USP- PCT2-US2 TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) U.S. APPLICATION NO. (IL known, see 37 CFR 1.5) NOT VET ASSIGNED CONCERNING A SUBMISSION UNDER 35 U.S.C. 371 INTERNATIONAL APPLICATION NO. PCT/US03/21756 INTERNATIONAL FILING DATE PRIORITY DATE CLAIMED July 11, 2003 July 16, 2002 TITLE OF INVENTION DRAINAGE CATHETER APPLICANT(S) FOR DO/EO/US Hart, et al. Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. The US has been elected (Article 31). 5. **V** A copy of the International Application as filed (35 U.S.C. 371(c)(2)) a. is attached hereto (required only if not communicated by the International Bureau). has been communicated by the International Bureau. c. is not required, as the application was filed in the United States Receiving Office (RO/US). An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). is attached hereto. has been previously submitted under 35 U.S.C. 154(d)(4). Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) are attached hereto (required only if not communicated by the International Bureau). have been communicated by the International Bureau. have not been made; however, the time limit for making such amendments has NOT expired. have not been made and will not be made. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). Items 11 to 20 below concern document(s) or information included: 11. V An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 12. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. A preliminary amendment. An Application Data Sheet under 37 CFR 1.76. A substitute specification. A power of attorney and/or change of address letter. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821-1.825. 18 A second copy of the published International Application under 35 U.S.C. 154(d)(4). 10 A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). 20 Other items or information:

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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U.S. APPLICAT	TON NO. (if known, see t	37 CFR 1.5)	INTERNATIONAL APPI	LICATION NO.	ATTORNEY'S DO	CKET NUMBER	
_	askidued 521	<u> 368</u>	PCT/US03/217	56	1865-USP- I	PCT2-US2	
21. The following fees are submitted:							
		\$ 300.00	_				
b) Examination fee\$200.00					\$ 200.00		
✓ c) Search fee\$500.00					\$ 500.00		
•	TOTAL OF ABOVE CA	\$ 1,000.00					
TOTAL OF ABOVE CALCULATIONS = \$1000.00  Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each							
	mputer program issung til O sheets of paper or frac						
Total Sheets	Extra sheets		of each additional 50 or fraction (round up to a whole number)				
- 100 =	/50 =			x \$250.00	\$ 0		
Surcharge of \$13 claimed priority of	30.00 for furnishing the or late (37 CFR 1.492(e)).	\$ 0					
CLAIMS	NUMBER FIL	.ED	NUMBER EXTRA	RATE	\$		
Total claims	20	- 20 =	0	x \$50.00	\$ 0		
Independent clai	ms 2	- 3 =	0	x \$200.00	\$ 0		
MULTIPLE DEPI	ENDENT CLAIM(S) (if ap	plicable)		+ \$360.00	\$		
		\$ 1,000					
Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.							
				SUBTOTAL =	\$ 1,000		
	f \$130.00 for furnishing to late (37 CFR 1.492(f)).	\$ O					
		\$ 1,000					
	the enclosed assignment cover sheet (37 CFR 3.	\$ 1,040	\$ 1,040				
TOTAL FEES ENCLOSED = \$							
			-		Amount to be refunded:	\$	
					Amount to be charged:	\$ 1,040	
a A abaa	uk in the emount of S		to cover the above	face is analosad			
a. A check in the amount of \$ to cover the above fees is enclosed.  b. Please charge my Deposit Account No. 01-2215 in the amount of \$_1,040 to cover the above fees.							
A duplicate copy of this sheet is enclosed.  C. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit							
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d. L. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.							
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.							
SEND ALL CORRESPONDENCE TO:							
Applied M	edical Resource	- Pull					
22872 Avenida Empresa Kenneth					_		
Rancho Santa Margarita, California 92688					- <del></del>		
46,323							
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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application: Hart, et al.		) Customer No. 2 <sup>o</sup>	1378
Serial No.: Application:	To be Assigned	) National Phas	se of International
• •		) PCT/US03	3/21756
Filed:	Herewith	) International F ) July 11, 20	
For DRAI	NAGE CATHETER	) Priority Date: ) July 16, 20	

EXPRESS MAIL MAILING LABEL NO.

EV 384109949 US

Date of Deposit: January 12, 2005

I hereby certify that the following documents, as identified below, are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. §1.10 on the date indicated above and are addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

- 1. Transmittal Letter to the U.S. Designated/Elected Office (DO/EO/US) concerning a Filing Under §35 U.S.C. 371;
- 2. Copies of: PCT Request, Chapter II Demand, International Search Report, International Publications A2 and A3, and Written Opinion;
- Specification including Abstract (<u>13 pages and 20 claims</u>);
- 4. Formal Drawings (7 pages, Figures 1-7d)
- 5. Executed Declaration;
- 6. Information Disclosure Statement with Form PTO /US08a;
- 7. Return-receipt postcard; and

Each of the above-identified documents is enclosed herewith.

Respectfully submitted,

Bachera Johnson

**Applied Medical Resources Corporation** 

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